

Statutory & Regulatory Disclosures



MASECO LLP is registered under the laws of England and Wales as a limited liability partnership under registration number OC337650. MASECO LLP trades as MASECO Private Wealth and MASECO Institutional. Its registered office is at Burleigh House, 357 Strand, London WC2R 0HS, United Kingdom.

MASECO LLP is authorised and regulated by the Financial Conduct Authority in the conduct of investment business in the UK. The FCA maintains a public register of all firms, individuals and other bodies they regulate. This can be accessed via the FCA's website, www.fca.org.uk. The firm's Financial Services register number is 489718.

MASECO LLP is also registered with the US Securities and Exchange Commission as a Registered Investment Adviser. The relevant provisions of the Investment Advisors Act of 1940 only apply with respect to the firm's dealings with US clients. The SEC maintains a public register of all registered investment advisers. This can be accessed via the Investment Adviser Public Disclosure Website, <https://www.adviserinfo.sec.gov/IAPD>. The firm's SEC registration number is 801-69385.

MASECO LLP is a completely separate entity from another other entity with a similar name.

In accordance with applicable regulations, we attach the following information:

Pillar 3	We provide information which will allow you to assess the capital adequacy of the firm. This is referred to as Pillar 3 disclosures.	Click here to open Pillar 3
Best Execution Policy	We are required to take all sufficient steps to obtain, when executing client orders, the best possible result for our clients taking into consideration execution factors, such as price, costs, speed, likelihood of execution and settlement, size of the order and available market liquidity, nature of any other considerations relevant to the execution of the order (such as potential market impact). The way in which we will effect transactions on behalf of our clients is set out in this Policy.	Click here to open Best Execution Policy
Top 5 Execution Venues Report	We are required to publish information on the Top 5 Execution Venues where we execute or transmit client orders.	Click here to open Top 5 Execution Venues Report Year to 31 December 2018 2018
Conflicts of Interest Policy	If a conflict of interest arises in the course of providing our services to a client, the way in which we will handle this conflict of interest is set out in this Policy.	Click here to open Conflict of Interest Policy
Privacy and Cookie Policy	When you visit this website, we will retain certain information about you. What we retain and how it will be used and held is set out in this Policy.	Click here to open Privacy and Cookie Policy
Data Protection Policy	Where you provide us with personal information about you (personal data) we will use that personal data where we have a legitimate interest. How we use that data and the security measures in place to protect your personal data from unauthorised access is set out in this Policy.	Click here to open Data Protection Policy
Complaints Policy	We endeavour to provide our services to a high standard, however, if you have a complaint about our services, we are required under the FCA Rules to handle complaints in a particular way. Details are set out in the attached Complaints Policy.	Click here to open Complaints Policy

